

SECRETARY OF THE SENATE

COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

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Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the **Office of Public Records** in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. **Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.**

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Name of Traveler: David Quinalty

Employing Office/Committee: commerce

Travel Expenses Paid by (List all sources): Aspen Institute

Travel Date(s): August 14-17, 2016

Description/Title of Attached Forms: PSTCF (Final version), itinerary (Final version)

Purpose of Amendment (describe the reason for amending original submission): Initial Post-travel
submission must be amended.

submission must be amended.

A detailed genomic map of chromosome 5A from *Drosophila melanogaster*. The map shows the arrangement of genes along the chromosome, with arrows indicating the direction of transcription. Key genes labeled include *Scp-1*, *Scp-2*, *Scp-3*, *Scp-4*, *Scp-5*, *Scp-6*, *Scp-7*, *Scp-8*, *Scp-9*, *Scp-10*, *Scp-11*, *Scp-12*, *Scp-13*, *Scp-14*, *Scp-15*, *Scp-16*, *Scp-17*, *Scp-18*, *Scp-19*, *Scp-20*, *Scp-21*, *Scp-22*, *Scp-23*, *Scp-24*, *Scp-25*, *Scp-26*, *Scp-27*, *Scp-28*, *Scp-29*, *Scp-30*, *Scp-31*, *Scp-32*, *Scp-33*, *Scp-34*, *Scp-35*, *Scp-36*, *Scp-37*, *Scp-38*, *Scp-39*, *Scp-40*, *Scp-41*, *Scp-42*, *Scp-43*, *Scp-44*, *Scp-45*, *Scp-46*, *Scp-47*, *Scp-48*, *Scp-49*, *Scp-50*, *Scp-51*, *Scp-52*, *Scp-53*, *Scp-54*, *Scp-55*, *Scp-56*, *Scp-57*, *Scp-58*, *Scp-59*, *Scp-60*, *Scp-61*, *Scp-62*, *Scp-63*, *Scp-64*, *Scp-65*, *Scp-66*, *Scp-67*, *Scp-68*, *Scp-69*, *Scp-70*, *Scp-71*, *Scp-72*, *Scp-73*, *Scp-74*, *Scp-75*, *Scp-76*, *Scp-77*, *Scp-78*, *Scp-79*, *Scp-80*, *Scp-81*, *Scp-82*, *Scp-83*, *Scp-84*, *Scp-85*, *Scp-86*, *Scp-87*, *Scp-88*, *Scp-89*, *Scp-90*, *Scp-91*, *Scp-92*, *Scp-93*, *Scp-94*, *Scp-95*, *Scp-96*, *Scp-97*, *Scp-98*, *Scp-99*, *Scp-100*.

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(Date) _____ (Signature of Traveler) _____

(Revised 4/19/2010)

- Final -

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): The Aspen Institute – Communications & Society Program
2. Description of the trip: The Aspen Institute Conference on Communications Policy is an annual Round-table that addresses issues of telecommunications regulation, competition, and public policy.
3. Dates of travel: August 14th-17th, 2016
4. Place of travel: Aspen, Colorado
5. Name and title of Senate invitees: David Quinalty, Policy Director for Senate Commerce Committee
6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
 - OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
 - AND –
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
 - AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9.

USE ONLY IF YOU CHECKED QUESTION Q3)
I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

-OR-

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

-OR-

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. USE ONLY IF YOU CHECKED QUESTION 9(B)

USE ONLY IF YOU CHECKED QUESTION 5(B)
If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

- 12. Briefly describe the role of each sponsor in organizing and conducting the trip:**

The Aspen Institute is a non-profit, non-partisan convener of this conference. The Institute is solely responsible for organizing and conducting the conference.

- 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:**

The Aspen Institute Communications and Society Program is an active convener for leaders and experts to exchange ideas on the social impact of digital technology and network communities.

The Aspen Institute Conference on Communications Policy engages leaders in the communications field.

- 14. Briefly describe each sponsor's prior history of sponsoring congressional trips:**

The Aspen Institute Communications and Society Program does not sponsor congressional trips but it has hosted Members of Congress at previous Roundtable Conferences.

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15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The overall goal of the Communications and Society Program is to promote integrated, thoughtful, values-based decision making in the fields of communications, media, and information policy.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	Flight: \$650 (pre-tax) + Ground trans. \$20 X 2D = \$40 = \$690	\$213 X 3N = \$639	\$95 X 3D = \$285	\$188 X 3D = \$567
<input type="checkbox"/> Actual Amounts				Conference Package

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

This is an event arranged/organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

The Aspen Institute Aspen Meadows campus has hosted this annual event for the last 31 years.

19. Name and location of hotel or other lodging facility:

The Aspen Meadows Resort at 845 Meadows Road, Aspen, CO 81611

20. Reason(s) for selecting hotel or other lodging facility:

The Aspen Institute owns the Aspen Meadows Resort which has hosted this annual event for the last 31 years.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Expenses for lodging, meals, and exceed per diem for official Federal Government travel because

this is the best negotiated group rate for the hotel where all attendees are staying.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach class transportation will be provided.

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:

Name and Title: Elliot Gerson, Executive Vice President, Policy Programs

Name of Organization: The Aspen Institute

Address: One Dupont Circle, Suite #700, Washington, D.C. 20036

Telephone Number: 202-738-5818

Fax Number: 202-467-0790

E-mail Address: elliot.gerson@aspeninstitute.org



THE ASPEN INSTITUTE
*Communications and
Society Program*

Setting the Communications Policy Agenda for the Next Administration

Agenda

Sunday, August 14, 2016

4:00 p.m. – 6:00 p.m. *Check in at the Aspen Meadows*

6:30 p.m. – 9:00 p.m. **Opening Reception, Group Dinner, and Introductions**
Keynote Speech by FCC Chairman Tom Wheeler
Located on the Davis Deck at the Aspen Meadows Reception Center

Monday, August 15, 2016

7:00 a.m. – 8:30 a.m. *Breakfast at the Aspen Meadows Restaurant*

All sessions will take place in the Lauder Seminar Room located in the Koch Building

8:45 a.m. – 10:15 a.m. **Session I. Digital Society 2017-2021**

In this first session, participants will compare the current communications landscape with the foreseeable future of digital society including streaming services, apps, the Internet of Things and other influences on the digital and broadband environment of 2020. Are there new constructs for how one thinks about the digital society? Against this backdrop, the Conference will consider loose ends deriving from the current Administration, i.e., issues arising out of current proceedings such as incentive auctions and net neutrality enforcement.

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10:15 a.m. – 10:45 a.m. Group Photo and Break

10:45 a.m. – 12:15 p.m. Session II. Approaches to Governance

Participants will consider contrasting approaches to how governments have and should maximize the public interest in addressing the pressing communications issues of the day. Likely these approaches will reflect the competing “world views” of the major political parties.

12:30 p.m. – 2:00 p.m. Lunch at the Aspen Meadows Restaurant

2:00 p.m. – 5:00 p.m. Session III. Working Groups

Each Working Group will explore a traditional area of communications and digital policy concerns, below, with the purpose of arriving at a proposal for how governments (federal, state and/or local) should address the issues going forward. In doing so, the Group should consider four questions:

1. Where is the market and technology heading?
2. What is driving or impeding those trends?
3. How can government policy maximize the benefits and minimize the detriments?
4. How should the government execute these strategies?

Working Group A: Inclusion and Opportunities

- Includes digital divide issues, access to capital for ownership, and new opportunities to use digital tools to advance individual and entrepreneurial well-being.

Working Group B: Investment and Innovation

- What can the government do to promote innovation and investment, and what is the role of competition going forward?

Working Group C: Trust Environment

- Includes privacy, security and cyber-security

5:00 p.m. – 6:30 p.m. Free Time for Informal Activities

6:30 p.m. – 9:00 p.m. Reception and Working Dinner

Tuesday, August 16, 2016

7:00 a.m. – 8:30 a.m. Breakfast at the Aspen Meadows Restaurant

8:45 a.m. – 9:30 a.m. Session IV. Working Group Initial Reports

9:30 a.m. – 10:15 a.m. Session V. Black Swans

The communications field has seen a number of high-profile, hard-to-predict, and rare events that were beyond the realm of normal expectations from the fields of history, science, finance, and technology. Participants will explore some of the economic and technological events that could occur in the next four to five years and discuss how the next administration might deal with these issues.

Break

Session VI. Working Groups Continued

Lunch at the Aspen Meadows Restaurant

Continuation of Working Group Activity

**Write up of Working Group Reports Due
(PowerPoint preferred)**

Reception and Working Dinner

Wednesday, August, 17 2016

Breakfast at the Aspen Meadows Restaurant

Session VII. Working Group Reports and Refinements
 Consider the reports of the Working Groups and refine their conclusions.

Break

Session VIII. Overview of Future of Communications Policy
Participants will draw conclusions from the scenarios and working
leader conclusions about the future of communications and digital
link about the role of government in that future.

Lunch at the Aspen Meadows Restaurant and Adjourn

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